

Securities Regulation Concentration Tracking Sheet

Name: _____ ID #: _____

Email: _____ GPA* _____

	Course	Professor	Term
Foundation Course #1	<i>Corporations</i>		
Foundation Course #2**			

	Course	Professor	Term
Elective Course #1			
Elective Course #2			
Elective Course #3***			

	Course	Professor	Term
Writing Requirement****			

	Course	Professor	Term
Transition to Practice			

	Location	For Credit	Term
Externship Recommendation*****			

Signature _____

Prof. David Lipton

Please bring this form to your Concentration Coordinator by the midpoint of your final law school semester. Once this form is completed and signed by your Concentration Coordinator, return it to the Office of Academic Affairs in Suite 343.

* All students must meet the following minimum GPA requirements: 3.3 (day)/3.26 (evening) overall GPA **AND** 3.3 (day)/3.26 (evening) GPA in Corporations, Corporate Finance, and all securities regulation courses, whether or not used to satisfy the requirements of the Concentration.

** Part-time students may substitute any course listed as an elective for the second Foundation course.

***If the Externship Recommendation is not followed a student must take 3 elective courses.

****A course taken to satisfy one of the two elective course requirements also satisfies the writing requirement if the student completes a Portfolio or Qualifying Course Paper for the course.

***** All students are urged to do an Externship. Placement should be at the SEC, FINRA, PCAOB, IAA or any agency, firm, or association involved in securities law, approved in advance by the Coordinator of the Concentration. Students who do not complete an Externship may substitute an additional (third) Elective from the menu above.