



THE CATHOLIC UNIVERSITY OF AMERICA
Columbus School of Law

Recent Developments at the SEC

presented by

Commissioner Daniel Gallagher, CUA Law '99



United States Securities and Exchange Commission

Monday, September 29, 2014

5:00 to 6:00 p.m.

Walter A. Slowinski Court Room

Columbus School of Law

Conveniently located one block from the Brookland-CUA Metro Stop on the Red Line

Daniel M. Gallagher Jr. serves as one of the five Commissioners of the United States Securities and Exchange Commission (SEC). He is the first graduate of the Columbus School of Law to serve as Commissioner of the Government's primary regulatory agency overseeing the securities industry.

Commissioner Gallagher was confirmed by the Senate on October 21, 2011, and returned to the Securities and Exchange Commission, where he had previously served, on November 7, 2011.

Commissioner Gallagher was on the staff of the SEC beginning in January 2006, when he served as a counsel to SEC Commissioner Paul S. Atkins and later as a counsel to SEC Chairman Christopher Cox. He worked primarily on major matters before the Commission involving the Division of Trading and Markets and the Division of Enforcement.

He joined the Division of Trading and Markets as a Deputy Director in 2008, where he played a key role in the SEC's response to the financial crisis and other significant issues before the Commission, including those involving credit rating agencies and credit default swaps. He served as an Acting Director of the Trading and Markets Division from April 2009 to January 2010, after which he left the agency to become a partner in the Washington D.C. office of WilmerHale.

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